

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q64131

RECEIVED

Kaoru UCHIDA

JUL 0 7 2004

Appln. No.: 09/840,269

Group Art Unit: 2621

**Technology Center 2600** 

Confirmation No.: 3335

Examiner: Unknown

Filed: April 24, 2001

For:

CONTENT DISTRIBUTION METHOD AND CONTENT DISTRIBUTION SYSTEM

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. International Publication No. WO99/26373, published May 27, 1999.
- 2. Japanese Unexamined Patent Application Publication No. H11-175726, published July 2, 1999.
- 3. Japanese Unexamined Patent Application Publication No. H11-312201, published November 9, 1999.
- 4. Japanese Laid-Open Patent Application Publication No. 2000-115646, published April 21, 2000.

One copy of each of the listed documents is submitted herewith.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/840,269

The present Information Disclosure Statement is being filed: (1) No later than three months from

the application's filing date; (2) Before the mailing date of the first Office Action on the merits

(whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for

continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee

under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign

language documents, Applicant encloses herewith a copy of a corresponding Japanese Office Action

dated April 7, 2004 and an English translation of the pertinent portions thereof which cites such

documents and indicates the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document

constitutes prior art against the claims of the present application. Applicant does not waive any right to

take any action that would be appropriate to antedate or otherwise remove any listed document as a

competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and

the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said

Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

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WASHINGTON OFFICE

23373

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Date: July 6, 2004

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Substitute for Form 1449 A & B/PTO		Complete if Known	
	•	Application Number	09/840,269
INFORMATION DISCLOSURI	<u> </u>	Confirmation Number	3335
PE STATEMENT BY APPLICANT	[	Filing Date	April 24, 2001
<i>-</i> \	_	First Named Inventor	Kaoru UCHIDA
use as many sheets as necessary)	Art Unit	2621	
7 6 1		Examiner Name	Unknown
Sheet 1 of 1		Attorney Docket Number	Q64131

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date	Name of Patentee or		
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		WO	99/26373	Al	05-27-1999	Digital Persona, INC.	
		JP	H11-175726	A	07-02-1999		No
		JP	H11-312201	Α	11-09-1999		No
		JP	2000-115646	A	04-21-2000		No
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NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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	Date Combinered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.